

## INTERNAL AUDIT - MONITORING REPORT QUARTER 4 2018/19

| Head of Service                     | Audit  | Date     | Assurance Level | Recommendations |            |            |
|-------------------------------------|--|----------|-----------------|-----------------|------------|------------|
|                                     |  |          |                 | Made            | Agreed     | Not Agreed |
| Financial Services & Service Centre | Schools Bank Reconciliations                 | 07/01/19 | High            | 2               | 2          | 0          |
| Building Services                   | Heol y Gors Depot - Admin & Finance          | 08/01/19 | High            | 3               | 2          | 1          |
| Education Planning & Resources      | Birchgrove Comprehensive School              | 18/01/19 | High            | 2               | 2          | 0          |
| Financial Services & Service Centre | Payment Card Industry Data Security Standard | 24/01/19 | High            | 5               | 5          | 0          |
| Financial Services & Service Centre | Council Tax 2018/19                          | 18/02/19 | High            | 7               | 7          | 0          |
| Financial Services & Service Centre | Employee Services 2018/19                    | 19/02/19 | High            | 3               | 3          | 0          |
| Digital & Transformation            | ICT Administration                           | 21/02/19 | High            | 3               | 2          | 1          |
| Financial Services & Service Centre | Main Accounting System 2018/19               | 26/02/19 | High            | 0               | 0          | 0          |
| Legal, Dem. Services & Business     |  |          |                 |                 |            |            |
| Intelligence                        | Land Charges                                 | 07/03/19 | High            | 9               | 9          | 0          |
| Financial Services & Service Centre | Income Tax - Self Employed & Misc            | 07/03/19 | High            | 5               | 5          | 0          |
| Planning & City Regeneration        | Landscaping                                  | 08/03/19 | High            | 4               | 4          | 0          |
| Financial Services & Service Centre | Cashiers Office                              | 11/03/19 | High            | 4               | 4          | 0          |
| Financial Services & Service Centre | Adult Family Placements                      | 13/03/19 | High            | 1               | 1          | 0          |
| Financial Services & Service Centre | Treasury Management 2018/19                  | 19/03/19 | High            | 1               | 1          | 0          |
| Financial Services & Service Centre | Cash 2018/19                                 | 28/03/19 | High            | 0               | 0          | 0          |
| Education Planning & Resources      | Christchurch Primary School                  | 02/01/19 | Substantial     | 11              | 11         | 0          |
| Legal, Dem. Services & Business     |  |          |                 |                 |            |            |
| Intelligence                        | Freedom of Information                       | 29/01/19 | Substantial     | 1               | 1          | 0          |
| Education Planning & Resources      | Penclawdd Primary School                     | 05/02/19 | Substantial     | 13              | 13         | 0          |
| Digital & Transformation            | E-Commerce Controls                          | 06/02/19 | Substantial     | 8               | 8          | 0          |
| Planning & City Regeneration        | Nature Conservation                          | 20/02/19 | Substantial     | 13              | 13         | 0          |
| Education Planning & Resources      | School Kitchens                              | 21/02/19 | Substantial     | 16              | 16         | 0          |
| Communications & Marketing          | Health, Safety & Wellbeing                   | 05/03/19 | Substantial     | 4               | 4          | 0          |
| Adult Services                      | Adult Services Establishemnts - Questionnare | 20/03/19 | Substantial     | 60              | 60         | 0          |
| Highways & Transportation           | Traffic Orders                               | 29/03/19 | Substantial     | 7               | 6          | 1          |
| Education Planning & Resources      | Terrace Road Primary School                  | 31/03/19 | Substantial     | 7               | 6          | 1          |
| Commercial Services                 | Supplier Contracts Review                    | 28/03/19 | Substantial     | 3               | 3          | 0          |
| Financial Services & Service Centre | Accounts Receivable 2018/19                  | 15/03/19 | Moderate        | 21              | 21         | 0          |
| <b>Total</b>                        |  |          |                 | <b>213</b>      | <b>209</b> | <b>4</b>   |